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Support

To: Cabinet – 3rd December 2012

Subject: Corporate Risk Register

Classification: Unrestricted

Summary

This paper presents the latest version of the Corporate Risk Register for the authority.

Members are asked to NOTE the report.

1. Introduction

Work has been progressing in recent months to refresh KCC's formal business risk management arrangements. This includes the approval of an updated Risk Management Policy by Governance & Audit Committee; development of a new risk management site on KNet containing updated management guidance and quick-reference guides; and a schedule of training for Officers and Members has been re-established. The Corporate Risk Team has also been working with Managers across the Authority to refresh divisional and directorate risk registers to ensure that there is a picture of significant risks across the Authority and that mechanisms for escalation of risks are in place.

2. Corporate Risk Register

The Corporate Risk Register is attached at appendix 1. It has been refreshed to take into account comments from Cabinet Members and the Corporate Management Team and information gathered from Directorate Management Teams.

As part of the refresh two risks have been added to the Corporate Register. They relate to:

- Delivery of savings;
- Procurement.

Three risks have now been transferred from the Corporate Register to the relevant directorate registers. They are:

Risk Title	Reason(s) for Delegation
CRR 7 – Governance & Internal Control	Low-to-medium level of risk (score of 9) and actions relating to change in KCC governance arrangements now completed and classified as controls.
CRR 8 – Academies independence from KCC	Risk being managed at directorate level.
CRR 11 – Responsiveness to emerging Government Reforms and Directives	Low-to-medium level of risk (score of 9) and specific areas of reform requiring action are captured elsewhere on register (i.e. Health and Welfare reforms)

If the level of risk in these areas is judged to increase, they will be escalated back up to Corporate Management Team and Cabinet Members for review and potentially placed back onto the Corporate Register.

There are three areas of risk currently rated as “High”. These are:

- Safeguarding; S
- Management of Social Care Demand; M
- Welfare Reform Act. W

Further details of these risks, including controls and mitigating actions, are contained in the register at appendix 1.

3. Monitoring & Review

While the Corporate Risk Register is formally refreshed annually, it is a ‘living’ document and will be reviewed and updated in-year to reflect any significant new risks or changes in risk exposure.

There is a particular focus on ensuring that key mitigating actions are identified and progress monitored. The risks within the Corporate Risk Register, their current risk level and progress against mitigating actions will be reported to Cabinet quarterly via the Quarterly Performance Report. Insufficient progress against mitigating actions will be referred to the Performance & Evaluation Board.

Risks that may prevent Services from achieving the Authority’s business objectives should be highlighted in business plans and mitigating actions developed and reflected within those plans.

The Register is presented to Governance & Audit Committee twice yearly for assurance purposes.

4. Recommendations

Cabinet is asked to:

- (i) Note and comment on the refreshed Corporate Risk Register;
- (ii) Agree to raise potential emerging risks with either the relevant Corporate Director or Corporate Risk Manager for review.

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